

# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/436,656	11/09/1999	KENJI TAGAWA	00177/530318	6961
7	590 03/22/2002			
WENDEROTH LIND & PONACK 2033 "K" STREET N W SUITE 800			EXAMINER	
			O CONNOR, GERALD J	
WASHINGTON, DC 20006			ART UNIT	PAPER NUMBER
			2167	~1
			DATE MAILED: 03/22/2002	* <b>*</b>

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

Applicant(s)

09/436,656

Tagawa et al.

Examiner

O'Connor

Art Unit 2167



-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**Period for Reply** A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE three MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136 (a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) Responsive to communication(s) filed on 2b) X This action is non-final. 2a) This action is **FINAL**. 3) 
Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11; 453 O.G. 213. Disposition of Claims 4) X Claim(s) 1-21 is/are pending in the application. 4a) Of the above, claim(s) none is/are withdrawn from consideration. 5) U Claim(s) is/are allowed. 6) X Claim(s) 1-21 is/are rejected. 7) Claim(s) \_\_\_\_\_\_ is/are objected to. 8) Claims are subject to restriction and/or election requirement. **Application Papers** 9)  $\square$  The specification is objected to by the Examiner. 10) The drawing(s) filed on \_\_\_\_\_\_ is/are objected to by the Examiner. 11) ☐ The proposed drawing correction filed on is: a) ☐ approved b) ☐ disapproved. 12) The oath or declaration is objected to by the Examiner. Priority under 35 U.S.C. § 119 13) Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d). a) X All b) ☐ Some\* c) ☐ None of: 1. X Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

15) Notice of References Cited (PTO-892)

18) Interview Summary (PTO-413) Paper No(s).

16) Notice of Draftsperson's Patent Drawing Review (PTO-948)

19) Notice of Informal Patent Application (PTO-152)

17) X Information Disclosure Statement(s) (PTO-1449) Paper No(s). 4 & 6

U. S. Patent and Trademark Office PTO-326 (Rev. 9-00)

20) Other:

\*See the attached detailed Office action for a list of the certified copies not received. 14) Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).

Page 2 Application: 09/436,656

Art Unit: 2167

#### **DETAILED ACTION**

### Information Disclosure Statement

The information disclosure statement filed November 9, 1999 (Paper Nº 4) fails to 1. comply with 37 CFR 1.98(a)(2)(iii), which requires a legible copy of each cited pending U.S. patent application (specification, claims, and drawings). The statement has been placed in the application file, but the pending applications referred to therein have not been considered.

#### Oath/Declaration

2. The oath or declaration is defective. A new oath or declaration in compliance with 37 CFR 1.67(a) identifying this application by application number and filing date is required. See MPEP §§ 602.01 and 602.02.

The oath or declaration is defective because the specification to which the oath or declaration is directed has not been adequately identified. See MPEP § 601.01(a).

## Specification

3. The lengthy specification has not been checked to the full extent necessary to determine the presence of all possible minor errors. Applicant's cooperation is requested in correcting any errors of which applicant may become aware in the specification.

Application: 09/436,656 Page 3

Art Unit: 2167

### Claim Rejections - 35 USC § 112, Second Paragraph

4. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

- 5. Claims 1-21 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.
- 6. The claims are excessively narrative and generally indefinite, failing to conform with current U.S. practice. They appear to be a literal translation into English from a foreign document.
- 7. Additionally, the term "super," used pervasively throughout the claims, is a relative term which renders the claims indefinite. The term "super" is not defined by the claims, the specification does not provide a standard for ascertaining the requisite degree, and one of ordinary skill in the art would not be reasonably apprised of the scope of the invention.

Application: 09/436,656 Page 4

Art Unit: 2167

#### Claim Rejections - 35 USC § 102

8. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --

- (a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.
- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- (e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.
- 9. Claims 1-21, as best understood, in light of their rejection under 35 U.S.C. 112, hereinabove, are rejected under 35 U.S.C. 102(e) as being clearly anticipated by Imai et al.
- 10. Claims 1-21, as best understood, in light of their rejection under 35 U.S.C. 112, hereinabove, are rejected under 35 U.S.C. 102(e) as being clearly anticipated by Yoshida et al.

Art Unit: 2167

#### Conclusion

11. The prior art made of record and not relied upon is considered pertinent to the disclosure.

Any inquiry concerning this communication, or earlier communications, should be 12. directed to the examiner, Jerry O'Connor, whose telephone number is (703) 305-1525.

March 19, 2002

ROBERT P. OLSZEWSKI SUPERVISORY PATENT EXAMINER TECHNOLOGY CENTER 3600 2000

Page 5